

[Handwritten mark]



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	Group Art Unit:TBD
)	
David Griffis, et al)	Examiner:TBD
)	
Filed: December 5, 2003)	Attorney Docket: WAB 03296
)	
Serial No.: 10/729,494)	Date: March 26, 2004

For: Sealing Arrangement for a Transit Vehicle

**COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450**

**REQUEST FOR CHANGE OF INVENTORSHIP
UNDER 37 CFR 1.48(a)**

Sir:

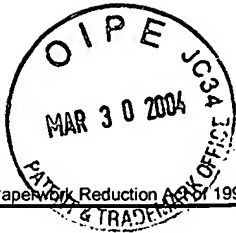
Applicant hereby requests a correction of the inventorship in the above-referenced application by adding an additional inventor, FOTIOS GOLEMIS.

Respectfully submitted,

By: *[Signature]*
**James O. Ray, Jr.
Agent for Applicant
Registration No. 27,666**

**JAMES RAY & ASSOCIATES
2640 PITCAIRN ROAD
MONROEVILLE, PA 15146**

**TELEPHONE: 412-380-0725
FACSIMILE: 412-380-0748**



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Westinghouse Air Brake Technology Corporation

Application No./Patent No.: 10/729,494 Filed/Issue Date: December 5, 2003

Entitled: Sealing Arrangement for a Transit Vehicle

an Assignment - Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 26, 2004
Date
412-380-0725
Telephone number

James O. Ray, Jr.
Typed or printed name
James O. Ray Jr.
Signature
Agent-Registration No.
Title 27,666

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	Group Art Unit: TBD
David Griffis, et al)	Examiner: TBD
Filed: December 5, 2003)	Attorney Docket: WAB 03296
Serial No.: TBD)	Date: March 12, 2004

For: Sealing Arrangement for a Transit Vehicle

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ALEXANDRIA, VA 22313-1450

WRITTEN CONSENT OF ASSIGNEE

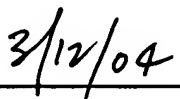
FOR CHANGE OF INVENTORSHIP UNDER 37 CFR 1.48(a)

I, Alvaro Garcia-Tunon, Chief Financial Officer of WESTINGHOUSE AIR
BRAKE TECHNOLOGIES CORPORATION hereby consent to the addition of
FOTIOS GOLEMIS as a joint inventor in the above-referenced application.

Attached is a completed PTO form SB/96 entitled STATEMENT UNDER
37CFR3.73(b).



Alvaro Garcia-Tunon



Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David Griffis, et al

Filed: December 5, 2003

Serial No.: TBD

) Group Art Unit:TBD

)

) Examiner:TBD

)

) Attorney Docket: WAB 03296

)

) Date: March 8, 2004

For: Sealing Arrangement for a Transit Vehicle

STATEMENT UNDER 37 CFR ' 1.48(a) (2)

BY A PERSON BEING ADDED AS AN INVENTOR

I, FOTIOS GOLEMIS, am an inventor of the invention disclosed and claimed in the above-referenced application, but I am not listed as an inventor in this application. This inventorship error occurred without deceptive intent.


Fotios Golemis

Date 3/8/04



Declaration For U.S. Patent Application

(PTO/SB/01)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SEALING ARRANGEMENT FOR A TRANSIT VEHICLE

the specification of which (Check one of blocks 1, 2 or 3)

1. ☐ is attached hereto.
2. ☐ was filed on _____ as International PCT Application Serial No. _____ and was amended on _____ (if applicable).
3. ☐ was filed on _____ as U.S. Application Serial No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

List of Prior Foreign Applications (if applicable)

CERTIFIED COPY ATTACHED?

_____ (Application Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Application Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Application Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Application Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

☐ Additional foreign application numbers are listed on the attached sheet, PTO/SB/02B - Supplemental Priority Data Sheet or similar sheet.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

List of U.S. Provisional Applications (if applicable)

_____ (Application Number)	_____ (Day/Month/Year Filed)
_____ (Application Number)	_____ (Day/Month/Year Filed)

☐ Additional provisional application numbers are listed on the attached sheet, PTO/SB/02B - Supplemental Priority Data Sheet or similar sheet.

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

List of U.S. Parent Application Or PCT Parent Numbers (if applicable)

_____ (Number)	_____ (Day/Month/Year Filed)	_____ (Status: Abandoned; Pending; Patent Number, if applicable)
_____ (Number)	_____ (Day/Month/Year Filed)	_____ (Status: Abandoned; Pending; Patent Number, if applicable)
_____ (Number)	_____ (Day/Month/Year Filed)	_____ (Status: Abandoned; Pending; Patent Number, if applicable)

☐ Additional U.S. or PCT international application numbers are listed on the attached sheet, PTO/SB/02B - Supplemental Priority Data Sheet or similar sheet.

And I hereby appoint as principal attorneys and agents, James O. Ray, Jr., Reg. No. 27,666; Gary J. Falce, Reg. No. 29,304; Amos Bartoli, Reg. No. 42,299; Michele K. Yoder, Reg. No. 41,562, Robert D. Lott 28,441 and Alexander Pokot, Reg. No. 54,376.

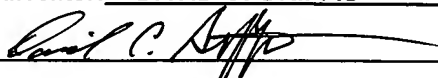
Please direct all correspondence to the following address:

JAMES RAY & ASSOCIATES
2640 PITCAIRN ROAD
MONROEVILLE, PA 15146

TELEPHONE: 412-380-0725
FACSIMILE: 412-380-0748

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 of Title and that such willful false statements may jeopardize the validity of the application or any patent issue thereon.

Full Name of Sole or First Inventor: DAVID C. GRIFFIS


Inventor's signature:  Date: 3/8/04

Residence: 2280 WEST BENTLEY LANE ROUND LAKE, IL 60073
(Street, City, State, Zip Code, Country)

Citizenship: UNITED STATES OF AMERICA

Post Office Address: 2280 WEST BENTLEY LANE ROUND LAKE, IL 60073

Full Name of Second Inventor: WIESLAW W. TOMCZYK

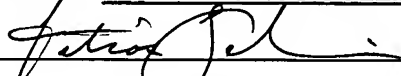
Inventor's signature:  Date: 3/8/04

Residence: 635 LONGTREE DRIVE, WHEELING, IL 60090
(Street, City, State, Zip Code, Country)

Citizenship: UNITED STATES OF AMERICA

Post Office Address: 635 LONGTREE DRIVE, WHEELING, IL 60090

Full Name of Third Inventor: FOTIOS GOLEMIS

Inventor's signature:  Date: 3/8/04

Residence: 8646 NORTH AVERS STREET SKOKIE, IL 60076
(Street, City, State, Zip Code, Country)

Citizenship: UNITED STATES OF AMERICA

Post Office Address: 8646 NORTH AVERS STREET SKOKIE, IL 60076

Full name of Fourth Inventor: _____

Inventor's signature: _____ Date: _____

Residence: _____
(Street, City, State, Zip Code, Country)

Citizenship: _____

Post Office Address: _____

[] Additional inventors are listed on the attached sheet, PTO/SB/02A - Supplemental Additional Inventor(s) Sheet or similar sheet.